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DOCKET NO. 17237CON2CIP(4)
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of
Olejnik-et al

Serial No: 09/587,485

Filed: June 5, 2000

For: IMPLANT DEVICE WITH A RETINOID FOR
IMPROVED BIOCOMPATABILITY

Group Art Unit: 1615

Examiner: n/a

THIRD LETTER TO REQUEST CORRECTION OF FILING RECEIPT

Office of Initial Patent
Examination's Customer Service
Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

Please provide us with a status of our LETTER TO REQUEST CORRECTION OF FILING RECEIPT mailed on August 23, 2000 (a copy is enclosed). Also enclosed is a copy of our second request mailed December 18, 2000 again requesting the following:

Please correct the filing receipt as follows:

Continuing Data as Claimed by Applicant

Delete:

"THIS APPLICATION IS A CON" and insert in place thereof --THIS APPLICATION IS A CIP--

Respectfully Submitted,

Carlos A. Fisher
CARLOS A. FISHER

Registration No. 36,510

Attorney of Record

Telephone: 714/246-4920

Telecopier: 714/246-4249

Carlos A. FISHER (T2-7H)
Allergan, Inc.
2525 Dupont Drive
Irvine, CA 92612-1531

CERTIFICATE OF MAILING	
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: OFFICE OF INITIAL PATENT EXAMINATION'S CUSTOMER SERVICE, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON	
5/22/2001	(Date) Name of person making deposit: Bonnie Ferguson
Signature: <i>Bonnie Ferguson</i>	Date: 5/22/2001

OIP
 MAY 29 2001
 PATENT & TRADEMARK OFFICE
 ARK 20



Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

QC00000005307024

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/587,485	06/05/2000	1615	762	2646-CIP-2543	4	24	3

Walter A Hackler
2372 SE Bristol
Suite B
Newport Beach, CA 92660

24
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4116 15 2000

LEGAL/PATENTS

Date Mailed: 08/08/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Orest Olejnik, Coto De Casa, CA ;
Patrick M. Hughes, Aliso Viejo, CA ;
John S. Kent, Newport Beach, CA :

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/356,074 07/16/1999
WHICH IS A CON OF 09/150,990 09/10/1998 PAT 6,074,661
WHICH IS A CON OF 08/908,094 08/11/1997 ABN

Foreign Applications

If Required, Foreign Filing License Granted 08/08/2000

Title

Implant device with a retinoid for improved biocompatibility

Preliminary Class

424

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TECH CENTER 1600/2900



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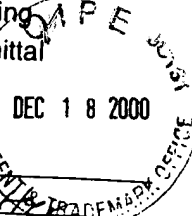
Office of Initial Patent Examinations
Rec'd in USPTO/PCT Office. Date Stamp and Return Card. Customer Service
Date: 12/12/00 Serial No.: 09/587,485
Title: IMPLANT DEVICE WITH A RETINOID FOR IMPROVED.
Dkt. No.: 17237CON2CIP(AP)
Enclosed Are:

- ☐ Specification # _____, Claims # _____, and Abstract # _____
- ☐ Drawings (_____ sheets)
 - ☐ Formal ☐ Informal
- ☐ Info. Disc. Statement
- ☐ Priority Documents # _____
- ☐ PTO 1449 W/References
- ☐ PCT Request (# pgs. _____)
- ☐ PCT Demand (# pgs. _____)
- ☐ PCT Response (# pgs. _____)
- ☐ PCT Amendment (# pgs. _____)
- ☐ Declaration, Power of Attorney
- ☐ Assignment & Cover Sheet
- ☐ Amendment (Final) (# pgs. _____)
- ☒ Certificate of Mailing
- ☐ Issue Fee Transmittal
- ☐ Transmittal Letter
- ☐ Extension of Time
- ☐ Express Mail No. _____
- ☒ Status Letter

Office of Initial Patent Examinations
Rec'd in USPTO/PCT Office. Date Stamp and Return Card. Customer Service
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- ☒ Status Letter

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DOCKET NO. 17237CON2CIP(AP)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Olejnik et al

Serial No.: 09/587,485

Filed: June 5, 2000

For: IMPLANT DEVICE WITH A
RETINOID FOR IMPROVED
BIOCOMPATIBILITY

Examiner: Unknown

Group Art Unit: 1615

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Assistant Commissioner of Patents, Washington, D.C. 20231 on:

Date of Deposit: 12/12/00

Person making Deposit: Bonnie Ferguson

Signature: Bonnie Ferguson

Date of Signature: 12/12/00

STATUS OF LETTER TO REQUEST CORRECTION OF FILING RECEIPT

Office of Initial Patent Examination's
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Can you please provide me with the status of our LETTER TO REQUEST CORRECTION OF FILING RECEIPT which mailed to the USPTO on August 23, 2000.
(Copy enclosed.)

Respectfully submitted,

Dated: 12/11/00

By: Carlos A. Fisher

Carlos A. Fisher

Registration No. 36,510

Attorney of Record

Telephone: 714-246-4920

Fax: 714-246-4249

Allergan, Inc. (T2-7H)
2525 Dupont Drive
Irvine, CA 92612

FILING RECEIPT



5C00000005307024*

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
587,485	06/05/2000	1615	762	2646-CIP-2543	4	24	3

Walter A Hackler
2372 SE Bristol
Suite B
Newport Beach, CA 92660

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Date Mailed: 08/08/2000

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Applicant(s)

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John S. Kent, Newport Beach, CA ;

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THIS APPLICATION IS A ~~CON~~ ^{CIP} OF 09/356,074 07/16/1999
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Foreign Applications

If Required, Foreign Filing License Granted 08/08/2000

Title

Implant device with a retinoid for improved biocompatibility

Preliminary Class

424



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Office of Initial Patent Examination's Customer Service Center
Rec'd in USPTO/PCT Office. Date Stamp and Return Card.

Date: 8/23/00 Serial No.: 09/587,485

Title: Implant Device With A RETINOID FOR.....

Dkt. No.: 17237CON2CIP(AP)

Enclosed Are:

- Specification # _____, Claims # _____, and Abstract # _____
- Drawings (_____ sheets)
 - _____ Formal _____ Informal
- Info. Disc. Statement
- Priority Documents # _____
- PTO 1449 W/References
- PCT Request (# pgs. _____)
- PCT Demand (# pgs. _____)
- PCT Response (# pgs. _____)
- PCT Amendment (# pgs. _____)

- Declaration, Power of Attorney
- Assignment & Cover Sheet
- Amendment (Final) (# pgs. _____)
- ☒ Certificate of Mailing
- Issue Fee Transmittal
- ☒ Transmittal Letter
- Extension of Time
- Express Mail No. _____

☒ Copy at Filing Receipt

COPY

Office of Initial Patent Examination's Customer Service Center
Rec'd in USPTO/PCT Office. Date Stamp and Return Card.

Date: 8/23/00

Title: Implant Device

Dkt. No.: 17237CON2CIP(AP)

Enclosed Are:

- Specification # _____, Claims # _____, and Abstract # _____
- Drawings (_____ sheets)
 - _____ Formal _____ Informal
- Info. Disc. Statement
- Priority Documents # _____
- PTO 1449 W/References
- PCT Request (# pgs. _____)
- PCT Demand (# pgs. _____)
- PCT Response (# pgs. _____)
- PCT Amendment (# pgs. _____)

Serial No.: 09/587,485

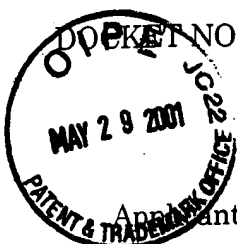
Title: RETINOID FOR.....

- Declaration, Power of Attorney
- Assignment & Cover Sheet
- Amendment (Final) (# pgs. _____)
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- ☒ Transmittal Letter
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- Express Mail No. _____

☒ Copy at Filing Receipt

LEGAL/PATENTS





DOCKET NO: 17237CON2CIP(AP)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Olejnik et al)
)
Sérial No.: 09/587,485)
)
Filed: June 5, 2000)
)
For: IMPLANT DEVICE WITH A)
RETINOID FOR IMPROVED)
BIOCOMPATIBILITY)
)
)
Examiner: Unknown)
_____)

Group Art Unit: 1615

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Assistant Commissioner of Patents, Washington, D.C. 20231 on:

Date of Deposit: 8/23/00
Person making Deposit: Bonnie Ferguson
Signature: Bonnie Ferguson
Date of Signature: 8/23/00

LETTER TO REQUEST CORRECTION OF FILING RECEIPT

Office of Initial Patent Examination's
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

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SEP 18 2001
TECH CENTER 1600/2900

Dear Sir:

Please correct the filing receipt as follows:

Continuing Data as Claimed by Applicant

Delete "THIS APPLICATION IS A CON" and insert in place thereof --THIS APPLICATION IS A CIP--

Please charge deposit account 01-0885 if there is a fee required to make these corrections.

Respectfully submitted,

Dated: Aug 21, 2000

By: Carlos A. Fisher
Carlos A. Fisher
Registration No. 36,510
Attorney of Record

FILING RECEIPT



OC00000005307024

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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AUG 15 2000

LEGAL/PATENTS

Date Mailed: 08/08/2000

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Orest Olejnik, Coto De Casa, CA ;
Patrick M. Hughes, Aliso Viejo, CA ;
John S. Kent, Newport Beach, CA ;

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TECH CENTER 1600/2900

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THIS APPLICATION IS A ~~CON~~ ^{CIP} OF 09/356,074 07/16/1999
WHICH IS A CON OF 09/150,990 09/10/1998 PAT 6,074,661
WHICH IS A CON OF 08/908,094 08/11/1997 ABN

Foreign Applications

If Required, Foreign Filing License Granted 08/08/2000

Title

Implant device with a retinoid for improved biocompatibility

Preliminary Class

424

Shigen, Inc.
1/15/2001
CON-2-CIP

FILE COPY

Receipt

Dkt. 55424-A-PCT-US/JPW/AN



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Anne Marie Schmidt and David Stern
U.S. Serial No.: 09/689,469
Filed : October 12, 2000
For : METHOD FOR INHIBITING TUMOR INVASION OR
SPREADING IN A SUBJECT

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SEP 18 2001

TECH CENTER 1600/2900

1185 Avenue of the Americas
New York, New York 10036
May 23, 2001

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

COMMUNICATION TO CORRECT ERROR IN FILING RECEIPT

This Communication is filed to request the issuance of a corrected Filing Receipt in connection with the above-identified application. Upon receipt of the official Filing Receipt for the subject application, a copy of which is attached hereto as **Exhibit A**, applicants' undersigned attorney noticed an error.

Specifically, the continuing data and the title for the subject application are incorrect.

A corrected filing receipt should read as follows:

--CONTINUING DATA AS CLAIMED BY APPLICANT--
THIS APPLN IS CON OF PCT/US99/08427 04/16/99 WHICH CLAIMS
PRIORITY OF APPLICATION NO. 09/062,365 04/17/98--

Applicant : Anne Marie Schmidt and David Stern
U.S. Serial No.: 09/689,469
Filed : October 12, 2000
Page 2

Also, the title on a corrected Filing Receipt should read as follows:

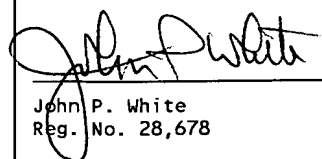
**--METHOD FOR INHIBITING TUMOR INVASION OR SPREADING IN A
SUBJECT--**


Applicants contend that the correct continuing data and title may be found in the Declaration and Power of Attorney and the Preliminary Amendment filed with the subject application on October 12, 2000. Copies of the Declaration and Power of Attorney and Preliminary Amendment are attached hereto as **Exhibits B and C**, respectively. Accordingly, applicants request that a corrected Filing Receipt be issued.

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231.

 5/23/01
John P. White Date
Reg. No. 28,678


John P. White
Registration No. 28,678
Attorney for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400